



SUD 1115 Incarceration Amendment

Presented by:

The Department for Medicaid Services & The Department of Corrections

Leslie Hoffmann & Sarah Johnson

Public Comment & Transparency Period

Town Hall Zoom Presentation #1, 10.12.20

Background

Currently the Centers for Medicare and Medicaid Services (CMS) does not allow for State Medicaid Agencies to cover the cost of services to incarcerated individuals, except when hospitalized over 24 hours.

HB 352

<https://apps.legislature.ky.gov/recorddocuments/bill/20RS/hb352/bill.pdf>

- Language directed The Department for Medicaid Services and The Department of Corrections to develop and submit an 1115 demonstration waiver under 42 U.S.C. sec. 1315 to provide Medicaid coverage for substance use disorder treatment.
- Kentucky's goals in establishing this initiative are:
 - Meeting the needs of our citizens who continue to struggle with substance use disorder (SUD).
 - Enhancing and expanding existing SUD treatment services in Kentucky.

The entire amendment will be pending CMS approval prior to implementation.

What is an 1115 Waiver?

- An 1115 waiver is often described as a pilot or demonstration project that is likely assist in promoting the objectives of the Medicaid program. The purpose of the demonstration is to give states additional flexibility to design and improve their programs.
- An 1115 demonstration project presents an opportunity for states to institute reforms that go beyond just routine medical care, and focus on evidence-based interventions that drive better health outcomes and quality of life improvements.
- A demonstration must also be "budget neutral" to the Federal government, which means that, during the course of the project, Federal Medicaid expenditures will not be more than Federal spending without the demonstration. The cost of services have to be less than or equal to the cost of services provided today.

Kentucky's SUD 1115 Incarceration Amendment

- Kentucky is requesting approval from the Centers for Medicare and Medicaid Services (CMS) for an amendment to its Medicaid Substance Abuse Disorder (SUD) 1115 waiver to authorize federal Medicaid matching funds for the provision of SUD treatment to eligible incarcerated members. Coverage for these services are requested for members incarcerated in state and county facilities.
- The objective of the amendment will be twofold:
 - Provide SUD treatment to eligible incarcerated individuals in order to ensure this high risk population receives needed treatment before release, and to strengthen follow up care with a Medicaid provider after release by paying for SUD treatment while incarcerated; and
 - Allow the recipient's chosen MCO to coordinate aftercare with a Medicaid provider 30 days before release.
- Kentucky for this amendment, is defining incarcerated member as a individual who is confined in a jail or prison, pretrial or conviction. These are Medicaid eligible incarcerated members who have a primary diagnosis of SUD.
- **Kentucky will be the first state in the nation to request this type of SUD incarceration amendment. The entire initiative will be pending CMS approval.**

Kentucky's 1115 SUD Incarceration Amendment

TIMELINE		
Commissioner review	9.11.2020	Completed
CMS review for completeness	9.22.2020	Completed
Public comment	9.30.2020	Completed
Submission to CMS	Est. 11.16.20	

Thank You!

The Department for Medicaid Services (DMS), Department for Behavioral Health (DBHDID), Office of Inspector General (OIG), and the Department of Corrections (DOC) established a united team to complete this initiative.

For Additional Information Contact:

Leslie Hoffmann

Chief Behavioral Health Officer

Department for Medicaid Services

leslie.hoffmann@ky.gov



Department of Corrections

Division of Addiction Services

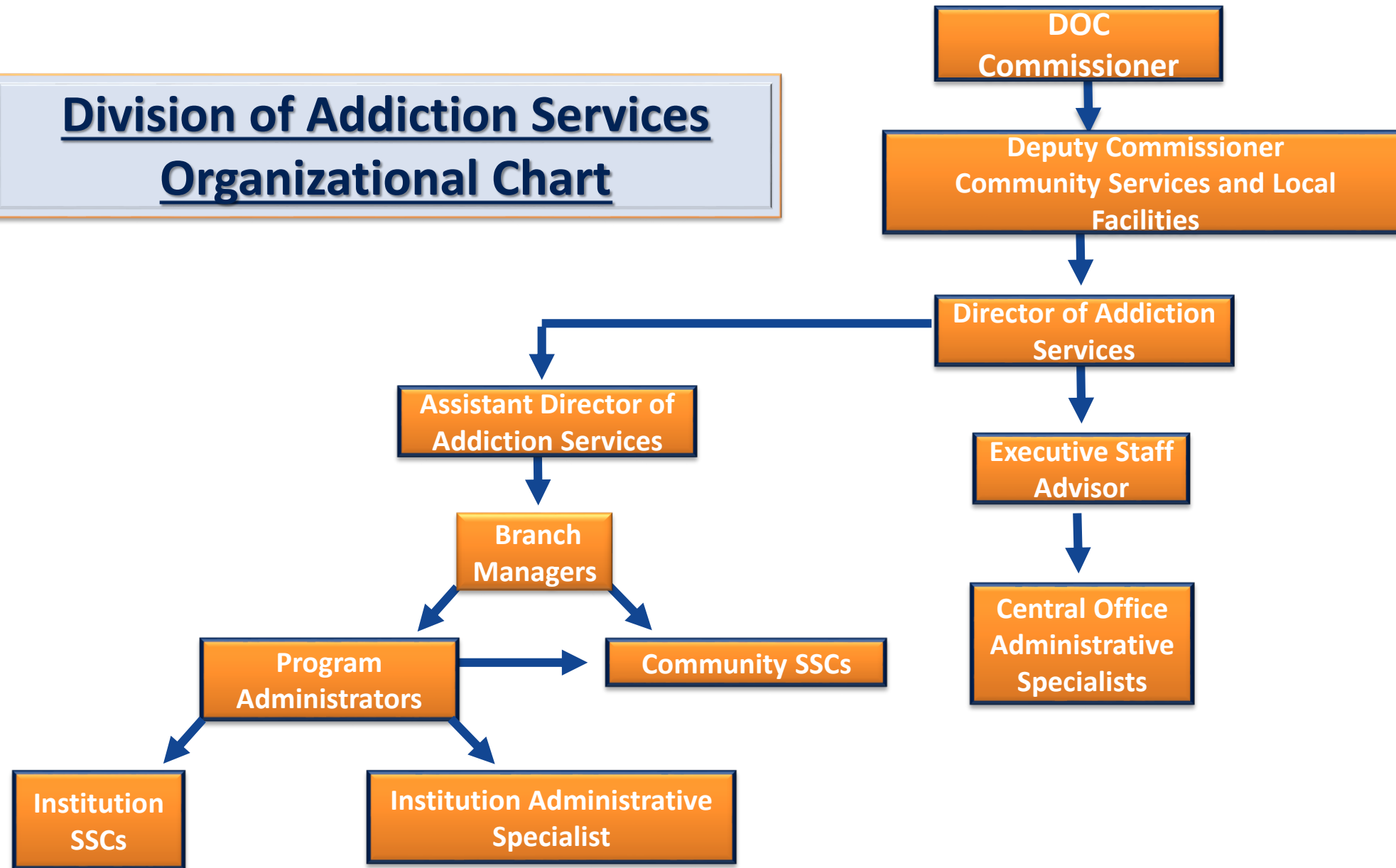
Sarah G. Johnson, Director

***“We Change Lives, We Change Outcomes
and We Change Tomorrow Today.”***

Division of Addiction Services

Overview

Division of Addiction Services Organizational Chart



Addiction Services Division Regions



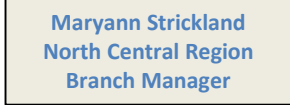
Ashley Short
Executive Staff Advisor



Erin Kron-Chia
North West Region
Branch Manager



Mylea McFelea
Louisville Region
Branch Manager



Maryann Strickland
North Central Region
Branch Manager



Sarah G. Johnson
Director



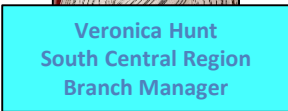
Jeannie Waldrige
Assistant Director



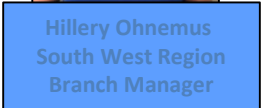
Jay Volkert
North East Region
Branch Manager



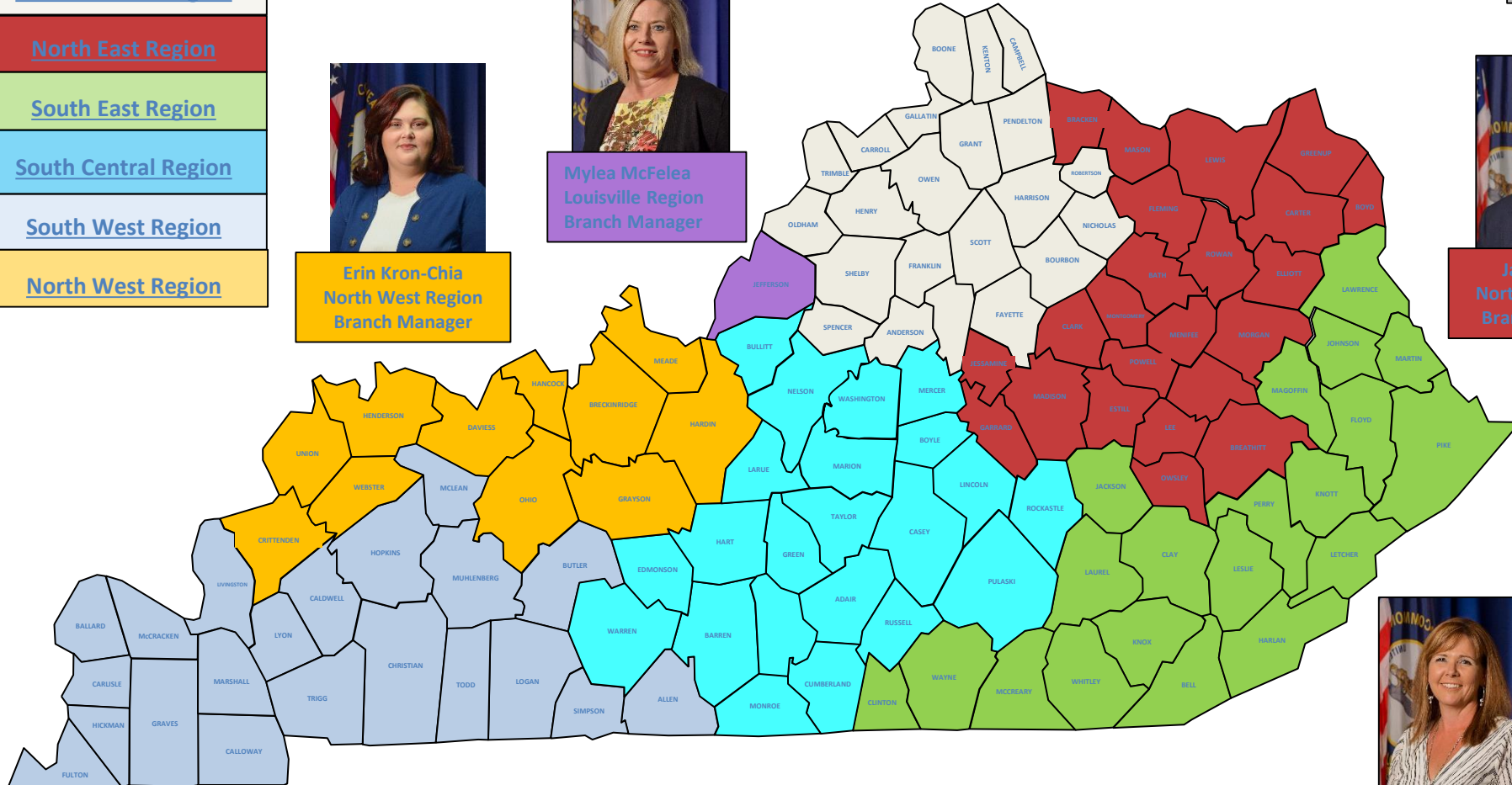
Denise Thorpe
Special Detailed
South East Region
Branch Manager



Veronica Hunt
South Central Region
Branch Manager



Hillery Ohnemus
South West Region
Branch Manager



Division of Addiction Services Staff



Position	Total
Director	1
Assistant Director	1
Executive Staff Director	1
Administrative Staff	9
Branch Managers	7
Program Administrators	14
Institution SSCs	27
Community SSCs	48
Total Staff	108

Current DOC Incarcerated Treatment Options

Program Type	Sum of Beds
Jail SAP/PSAP	1,434
Prison SAP	927
SOAR	248
Grand Total	2,609

As of 10/5/20

Substance Abuse Program-SAP

A 6 month evidence-based Substance Use Disorder treatment

Therapeutic Community Model which includes but not limited to:

- Prosocial behaviors and attitudes;
- Trial and error learning process;
- Supported and guided by the community and staff;
- New behaviors and attitudes
- Accountability
- Clear expectations;
- Curriculum- Hazelden “New Directions” Workbook Series (Intro to Treatment, Criminal & Addictive Thinking, Drug & Alcohol Drug Education, Socialization, Co-Occurring Disorders, Relapse Prevention, and Preparing For Release.)

Locations

- BCC, GRCC, KCIW, KSR, LAC, LSCC, NTC, RCC, WKCC, Ross Cash and SSCC
- Boyle County Detention Center (M), Breckinridge County Detention Center (M), Bullitt County Detention Center (M), Christian County Detention Center (M), Daviess County Detention Center (M), Fayette County Detention Center (M/F), Fulton County Detention Center (M), Grant County Detention Center (M/F), Grayson County Detention Center (M), Harlan County Detention Center (M), Hardin County Detention Center (M/F), Henderson County Detention Center (M/F), Hopkins County Jail (M), Kenton County Detention Center (M), Laurel County Detention Center (M), Three Forks Regional Jail (M), Marion County Detention Center (M/F), Mason County Jail (M), Pike County Detention Center (M/F), Powell County Detention Center (M), Shelby County Detention Center (M)

Co-occurring Disorder (COD) SAP

Program Description

- Individuals with verifiable histories of Substance Use Disorder and Mental Health Disorders are eligible to receive an integrated treatment program to address both mental health and substance use disorders. Treatment is provided utilizing a modified therapeutic community model.
- **Program Location**
 - ❖ KCIW and KSR

SOAR

Supporting Others in Active Recovery

➤ Program Description

SOAR supports clients in their goal to stay safe and sober after completing SAP.

SOAR is targeted toward on relapse prevention, education, and reentry skills/resources.

Evidence-based curriculum from Hazelden called MORE (My Ongoing Recovery Experience).

While enrolled in SOAR, clients are eligible to participate in up to two other reentry programs.

Family communication and engagement.

Location: NTC, Marion County Detention Center, Grant Co. Detention Center, and coming soon Fulton Co Jail

SAMAT - Supportive Assistance with Medications for Addiction Treatment

SAMAT is focused on preventing overdose, relapse, and recidivism for individuals with Opioid Use Disorder and/or Alcohol Use Disorder whom have completed or are currently engaged in a Substance Abuse Program (SAP) through the implementation of FDA-approved medication for addiction treatment, which includes Vivitrol (naltrexone) and at some locations Sublocade.

SAMAT candidates are identified and screened for eligibility approximately 2 months prior to their anticipated release from incarceration.

SAMAT participants are provided medication services for up to 2 months before release from incarceration, which may include 2 injections.

Program Locations:

Vivitrol: All prisons, all jails that offer SAP

Sublocade: NTC, BCC, KCIW and Kenton Co. Detention Center

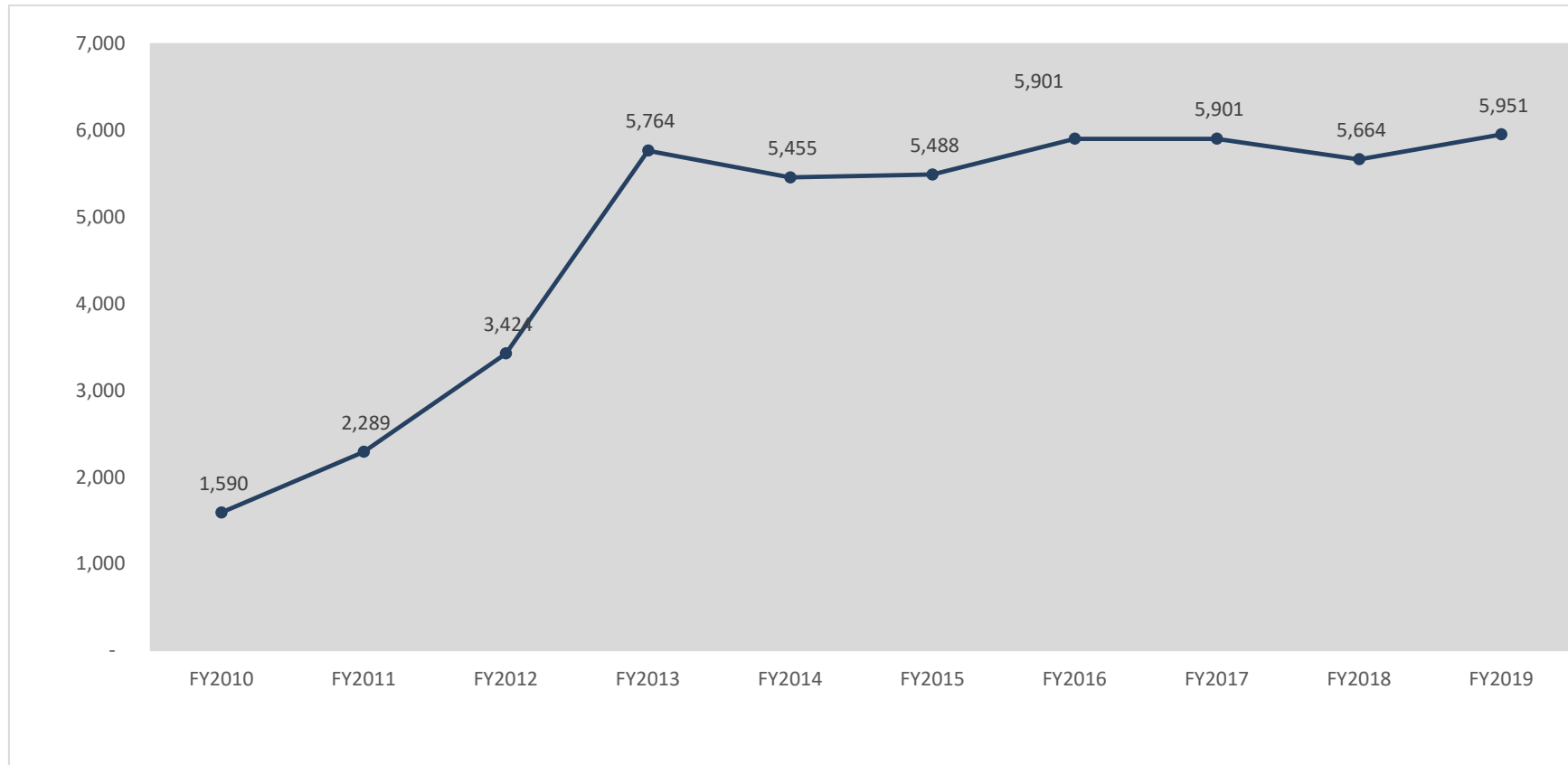
PSAP- Pretrial Substance Abuse Program

In response to Senate Bill 4, passed into law in 2009, individuals charged with Class C or D felony having no felony convictions within the past 10 years, may be eligible for treatment as an alternative to conviction. At initial incarceration, the Jail Pre-Trial Officer may alert the Division of Addiction Services Branch Manager or Program Administrator to conduct a clinical assessment to determine eligibility for SB4/PSAP. Upon an agreement between the judge, the commonwealth attorney, the client in question, and his/her attorney, successful completion of a jail based six-month treatment program may serve as an alternative to a felony conviction.

Program Location:

All jails that offer SAP for state inmates

Growth of Treatment Opportunities



Substance Abuse Program

Jails/Institutions

- AODE license
- Therapeutic Community
- Cognitive Behavioral Therapy
- Annual audits from OIG
- Annual audits for Addiction Services
- Evidence Based Curriculum through Hazelden Betty Ford
- Individualized Treatment plans
- Group and Individual Counseling

Changes in SAP

❖ Standardization

- Updated New Directions Curriculum from Hazelden
- Co-Occurring Workbook
- Hazelden trained Addiction Services staff in November
- Training to our contracted partners



Why New Directions?

- Cognitive-Behavioral Therapy Program
- Hazelden Betty Ford & Minnesota DOC
- Designed for Criminally Justice involved individuals
- Treatment for Criminal and Addictive Behavior
- Evidence Based Program
- Research shows it reduces all 3 recidivism measures: rearrests, reconviction, and reincarceration
- Received the highest rating against recidivism from the Council of State Governments
- It identifies, challenges, and replaces distorted thinking to change criminal and addictive behavior
- Utilizes therapeutic community, CBT, motivational interviewing, the trans theoretical model (stages of change), 12 step facilitation, Marlatt's model of relapse prevention, and milieu therapy.
- Minnesota DOC study shows 17% reduction for rearrests, 21% for reconviction, and 25% for reincarceration for a new crime

Family & Community Engagement

- Institution SAP & Community
- User friendly website including a “End the Stigma” page with helpful links
- Informational brochures
- Partnering with other agencies and divisions to spread the message
- Collaboration with other treatment providers and community resources
- Social Media platforms



New Database Module, Reports and Assessment Tool

- Standardized assessment with ASAM criteria
- KOMS Addiction Services module
- Standardization in reports
- Standardization in Institution SAP

Studies/Evaluations

- University of Kentucky –Center of Drug and Alcohol Research
 - Criminal Justice Kentucky Treatment Outcome Study
 - Change of Scope
 - Incorporation of ASAM, DSM-5 criteria for SUDs and common Co-Occurring Disorders
 - Addition of Suicidality and overdose measures
 - MAT Expansion Outcome Study
 - New study to evaluate multiple forms of MAT

Why we Rebranded the Division

- Changing the message- *“Treatment is not punishment”*
- Promoting Recovery instead of just Treatment
- Reducing Stigma
- Highlighting all the services we offer as:

Addiction Services of Kentucky

Recovery is possible if you **ASK for help!**



Questions?

Email DMS.ISSUES@ky.gov to submit comments.